

**UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA**

UNITED STATES SECURITIES
AND EXCHANGE COMMISSION,

Plaintiff,

Case No.: 21-cv-02114 (KMM/JFD)

v.

CAREBOURN CAPITAL, L.P.,
CAREBOURN PARTNERS, LLC
Relief Defendant, and CHIP ALVIN
RICE,

Defendants.

**MOTION FOR LEAVE TO FILE
AMICI CURIAE BRIEF IN SUPPORT OF DEFENDANTS
ON CROSS MOTIONS FOR SUMMARY JUDGMENT**

The Alternative Investment Management Association, Ltd. (“AIMA”), Trading and Markets Project, Inc. (“TMP”), and National Association of Private Fund Managers (“NAPFM”) (collectively, “Amici”) respectfully seek leave to file the accompanying brief as amici curiae in support of Defendants’ motion for summary judgment and in opposition to Plaintiff’s motion for summary judgment (together, “Cross Motions”).

Amici’s motion for leave is further supported by the accompanying memorandum of law.

Date: July 24, 2023

Respectfully submitted,

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